(REV 10-95) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 702-010272

INTERNATIONAL APPLICATION NO. PCT/EP99/06302

INTERNATIONAL FILING DATE 27.08.99 (August 27, 1999) PRIORITY DATES CLAIMED 27.08.98 (August 27, 1998)

Form PTO-1390

# TITLE OF INVENTION TRANSCENIC AMORPHA A 11 DIENE SUNTHESIS

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSGENIC ANIORPHA-4,11-DIENE SYNTHESIS
APPLICANT(S) FOR DO/EO/US Thorvald Eelco WALLAART and Hendrik Jan BOUWMEESTER
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. 🖾 A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. $\square$ is transmitted herewith (required only if not transmitted by the International Bureau).
b. 🔯 has been transmitted by the International Bureau.
c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a.   are transmitted herewith (required only if not transmitted by the International Bureau).
b.  have been transmitted by the International Bureau.
c. have not been made; however, the time limit for making such amendments has NOT expired.
d. 🔯 have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11. to 16. below concern document(s) or information included:
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
<ul> <li>16. Other items or information:</li> <li>a. WO 00/12725-Front Page with Abstract, specification, claims and drawings (47 pp.)</li> <li>b. Search Report (4 pp.)</li> <li>c. International Preliminary Examination Report (5 pp.)</li> <li>d. Letter re Addition of Inventor (2 pp.)</li> </ul>

U.S. APPLICATION	7-63-822	INTERNATIONAL APPLICATION NO. PCT/EP99/12725			ATTORNEY'S DOCKET NUMBER 702-010272	
	are submitted: (37 CFR 1.492(a)(1)-(5)): prepared by the EPO or JPO		\$860.00	<u></u>	ALCULATIONS I	PTO USE ONLY
International preliminar	ry examination fee paid to USPTO (3'					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
	ry examination fee paid to USPTO (3' provisions of PCT Article 33(2)-(4)		\$100.00			
	ENTER APPROPE	RIATE BASIC FEE A	MOUNT =	\$	860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 🛮 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	44 - 20	24	X \$18.00	\$	432.00	
Independent claims	14 - 3 =	11	X \$80.00	\$	880.00	
MULTIPLE DEPENDENT	CLAIM(S) (if applicable)		+ \$270.00	\$	0.00	
TOTAL OF ABOVE CALCULATIONS =					2302.00	
Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement verified by Applicant(s) attorney.					0.00	
SUBTOTAL =				\$	2302.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					0.00	
TOTAL NATIONAL FEE =					2302.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					0.00	
TOTAL FEES ENCLOSED =				\$	2302.00	
				Ar	nount to be: refunded	\$
					charged	\$
<u> </u>	unt of \$ <u>2302.00</u> to cover the abo			<u> </u>		] 3
b. Please charge my D	reposit Account No in the amore his sheet is enclosed.		above fees.			
c. The Assistant Comr	missioner is hereby authorized to char 23-0650 . A duplicate copy of th		be required, or cre	dit a	ny overpayment to	
	oriate time limit under 37 CFR 1.49 tore the application to pending state		petition to revive (3	37 C	FR 1.137(a) or (b)	) must be filed
SEND ALL CORRESPON Barbara E. Johnson 700 Koppers Building 436 Seventh Avenue Pittsburgh, Pennsylva Telephone: (412) 47 Facsimile: (412) 471	g mia 15219-1818 1-8815	SIONAT Barba NAME 31,198	ra E. Johnson	/	John	
	· <del>-</del> · ·		TRATION NUMBE	ER		

ATENT APPLICATION/PCT Attorney Docket No. 702-010272

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OF PCT/PTU 2 6 FEB 2001

In re application of

TRANSGENIC

Thorvald Eelco WALLAART Hendrik Jan BOUWMEESTER

AMORPHA-4,11-DIENE SYNTHESIS

International Application

No. PCT/EP99/06302

International Filing Date

27 August 1999

Priority Date Claimed

27 August 1998

Serial No. Not Yet Assigned

Filed Concurrently Herewith

Pittsburgh, Pennsylvania February 26, 2000

## **LETTER RECOGNIZING ATTORNEYS**

#### **Box PCT**

**Assistant Commissioner for Patents** Washington DC 20231

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims, abstract and drawings. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize Barbara E. Johnson, Richard L. Byrne and Thomas J. Clinton, Registration Nos. 31,198, 28,498 and 40,561, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to Barbara E. Johnson.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON, P.C.

Barbara E. Johnson, Reg. No. 31,198

Attorney for Applicants 700 Koppers Building 436 Seventh Avenue

Pittsburgh, PA 15219-1818 Telephone: 412/471-8815 Facsimile: 412/471-4094

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ENTITLED	TRANSCENIC AMORE	PHA-4,11-DIENE SYNTHESIS
PCT/EP99/06	OUWMEESTER 5302	
	WALLAART	702-010272
IN RE APPLI	CATION OF:	ATTORNEY'S DOCKET NUMBER

To BOX PCT

**Attention: DO/EO/US** 

Assistant Commissioner for Patents Washington, D.C. 20231

### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label Number _	EL763580433US
Date of Deposit February	26, 2001
I hereby certify that the f	following attached paper or fee

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A

FILING UNDER 35 U.S.C. 371 (original and two (2) copies)

and check in amount of \$2302.00 for filing fee;

LETTER RECOGNIZING ATTORNEYS (1 p.);

PRELIMINARY AMENDMENT;

WO 00/12725 FRONT PAGE WITH ABSTRACT, SPECIFICATION,

CLAIMS, DRAWINGS AND SEARCH REPORT (51 pp.);

WO 00/12725 FRONT PAGE WITH ABSTRACT, SPECIFICATION, CLAIMS, DRAWINGS AND SEARCH REPORT (51 pp.); PRELIMINARY EXAMINATION REPORT (5 pp.); LETTER RE ADDITION OF INVENTOR (2 pp.)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

K.T. Berthold
(Typed name of person mailing paper or fee)
KT Berthold
(Signature of person mailing paper or fee)